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153501-0471

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 WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/006,849 | 11/07/2001 | William A. Treece | 153501-0471 |

01622
 IRELL & MANELLA LLP
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 NEWPORT BEACH, CA 92660

CONFIRMATION NO. 8967

FORMALITIES LETTER



OC000000007367804

CAPSTONE

153501-0471

RESPONSE DUE: 03-25-02

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

R. W. W.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IRELL & MANELLA LLP

04/02/2002 10:40:01 00000153 10006849

11/07/2001

11/07/2001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In U.S. Patent Application of:

Inventor(s) : William A. Treece

Serial No. : 10/006,849

Filed : November 7, 2001

For : HYBRID MICROTURBINE/
FUEL CELL SYSTEM PROVIDING AIR
CONTAMINATION CONTROL

Examiner : to be determined

Art Unit : 2838

CERTIFICATE OF MAILING
UNDER 37 CFR 1.8March 25, 2002

I hereby certify that this paper or fee is being deposited with
the United States Postal Service as First Class Mail on the date
indicated above and is addressed to the Box Missing Parts,
Commissioner for Patents, Washington, D.C. 20231.

Janet Kornbluth

Typed or Printed Name of Person Mailing Paper or Fee

Signature of Person Mailing Paper or Fee

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231
Attn: BOX MISSING PARTS

Sir:

Transmitted herewith are the following:

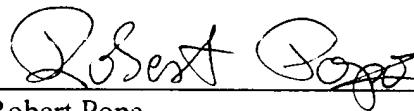
- ☒ Form PTO1533 Notice to File Missing Parts mailed 1/25/02
- ☒ Executed Declaration(s) and Power(s) of Attorney
- ☒ Recordation Cover Sheet and Assignment(s)
- ☒ Postcard acknowledging receipt of the documents transmitted.
- ☒ Check for \$ 105.00, calculated as follows:

FEE COMPUTATION:**CLAIMS AS FILED**

| | Number Filed | Number Extra | | Rate | Basic Filing Fee \$ |
|--|-------------------------|-------------------------|---|-------------|-------------------------------------|
| Total Claims | 33 | -33 | = | 0 x \$18 | \$ |
| Independent Claims | 3 | -3 | = | 0 x \$84 | \$ |
| <input type="checkbox"/> Multiple Dependent Claim(s) (37CFR 1.16(d) (\$280.00)) | | | | | \$ |
| | | | | | Filing Fee \$ |
| <input checked="" type="checkbox"/> Surcharge as set forth in 37 CFR 1.16(e) | | | | | \$ 130.00 |
| <input type="checkbox"/> Petition is hereby made to extend the <u>original</u> due date of _____ to cover the date of this paper and any enclosure for which the requisite fee is (1 mo. \$110); (2 mo. \$400); (3 mo. \$920); (4 mo. \$1,440); (5 mo. \$1,960). | | | | | \$ |
| | | | | | Subtotal \$ 130.00 |
| <input checked="" type="checkbox"/> If Small Entity claimed, subtract one-half of subtotal shown above | | | | | - \$ 65.00 |
| | | | | | Subtotal \$ 65.00 |
| <input checked="" type="checkbox"/> Assignment recordation fee (\$40.00) | | | | | \$ 40.00 |
| | | | | | TOTAL FEE ENCLOSED \$ 105.00 |

No additional fees are believed to be due. If an extension of time is required for this paper or later filed papers, please consider this a petition for the required extension of time. Please charge any required petition fees, and any other fees, except for payment of the issue fee, and charge any underpayment or credit any overpayment during the prosecution of this application to our **Deposit Account No. 09-0946** for which purpose a **duplicate of this paper is enclosed**.

Respectfully submitted,



Robert Popa
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